



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of

Docket No: Q89068

Masayuki CHOKAI, et al.

Appln. No.: 10/542,641

Group Art Unit: Unknown

Confirmation No.: 1723

Examiner: Unknown

Filed: July 19, 2005

For:

CARBON NANOTUBES COATED WITH AROMATIC CONDENSATION POLYMER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003. It is noted that some of the documents were previously listed in the PTO/SB/08 form filed with the application on July 19, 2005, but are being listed again for the Examiner's convenience since copies of the documents are being submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/542,641

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication

(International Preliminary Examination Report) from a foreign patent office in a counterpart

application citing such documents, together with an English-language version (if not already

included) of at least that portion of the Communication indicating the degree of relevance found

by the foreign patent office. In addition, English language abstracts are submitted herewith as

concise explanations of the foreign languages documents, and a U.S. patent and U.S. published

patent application are cited corresponding to two foreign language documents. Also, Applicants

refer the Examiner to the disclosure on page 2 of the application for a concise explanation of the

relevance of JP-A-3-287821 and JP-A-5-106163.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 33,725

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: January 26, 2006

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	Substitute for Form 1449 A & B/PTO			Comp	lete if Known
				Application Number	10/542,641
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	STATEMENT BY APPL	ICAN	$\overline{ extbf{T}}$ ' '	Filing Date	July 19, 2005
76				First Named Inventor	Masayuki CHOKAI
	(use as many sheets as nece	ssary)		Art Unit	Unknown
	8			Examiner Name	Unknown
,	eet 1	of 1		Attorney Docket Number	Q89068

			U.S.	PATENT DOCU	MENTS
Examiner	Cite	Document Number		Publication Date	
Initials*	No.1	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 6,331,265	B1	12-18-2001	Dupire et al.
		US 2003/0096104	A1	05-22-2003	Tobita et al.
	_	US			
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		US			

			F(OREIGN PA	TENT DOCUM	ENTS	
Examiner		Foreign Patent Document			Publication Date	Name of Patentee or	Translation ⁶
Initials*	No.1	Country Code ³	Number⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	11 ansiation
		JР	2002-544356	A	12-24-2002	Atofina Research S.A.	Abstract only; corresponding U.S. Patent 6,331,265 cited
		JР	2002-273741	A	09-25-2002	Polymatech Co., Ltd.	Abstract and cited corresponding U.S. published application 2003/0096104
		JP	2003-012939	Α	01-15-2003	Toray Industries, Inc.	Abstract
		JР	2002-365427	Α	12-18-2002	Toray Industries, Inc.	Abstract
		WO	01/72882	A1	10-04-2001	TERGAL FIBRES	Abstract
		WO	01/12678	A1	02-22-2001	SOLUTIA INC.	

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.					
		LIU, Jie; RINZLER, Andrew; DAI, Hongjie; HAFNER, Jason H.; BRADLEY, R. Kelley; BOUL, Peter J.; LU, Adrian; IVERSON, Terry; SHELIMOVE, Konstantin; HUFFMAN, Chad B.; RODRIGUEZ-MACIAS, Fernando; SHON, Young-Seok; LEE, T. Randall; COLBERT, Daniel T.; SMALLEY, Richard E., "Fullerene Pipes," Science, Vol. 280, May 22, 1998, pages 1253-1256					
		CHEN, Jian; HARMON, Mark A.; CHEN Yongsheng; RAO, Apparao M.; EKLUND, Peter C.; Haddon, Robert C., "Solution Properties of Single-Walled Carbon Nanotubes," Science, Vol. 282, October 2, 1998, pages 95-98					
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Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.